

\* Yesterday: Introduced non-deterministic finite automata (NFAs)

\* Today: NFAs, formally

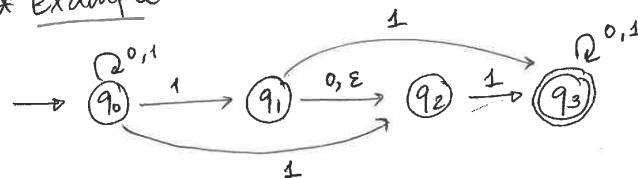
Def: An NFA consists of :

- (1) An alphabet  $\Sigma$
- (2) A set of states  $Q$  (finite)
- (3) A start state  $q_0 \in Q$ .
- (4) A set  $A \subseteq Q$  of accept states
- (5) A transition function

$$\delta: Q \times (\Sigma \cup \{\epsilon\}) \rightarrow P(Q)$$

↑                      ↑                      ↑  
 state you're at    thing you read    possible states you can go to-

\* Example



What does  $\delta$  do? Some examples

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>* <math>\delta(q_0, 1) = \{q_0, q_1, q_2\}</math></li> <li>* <math>\delta(q_0, 0) = \{q_0\}</math></li> <li>* <math>\delta(q_0, \epsilon) = \emptyset</math></li> </ul> | <ul style="list-style-type: none"> <li>* <math>\delta(q_1, \epsilon) = \{q_2\}</math></li> <li>* <math>\delta(q_2, 0) = \emptyset</math></li> </ul> |
|--|---|

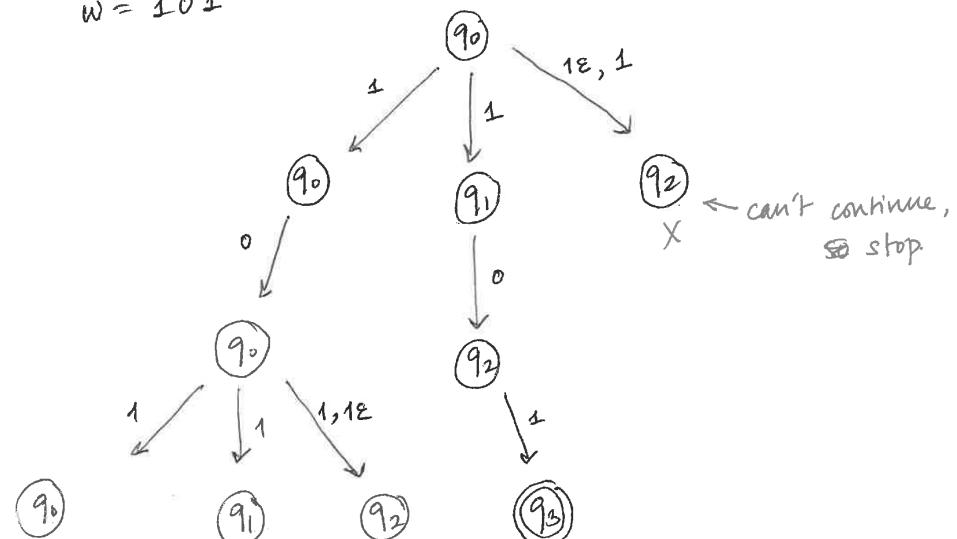
### \* Calculation tree of an NFA

(Use previous example)

Eg.  $w = 101$ .

- Start at ~~at~~  $q_0$ .
- At each step, read a single letter, and any " $\epsilon$ " that come before or after.
- Draw all possibilities, and continue reading the next letter from all of the possibilities

$w = 101$

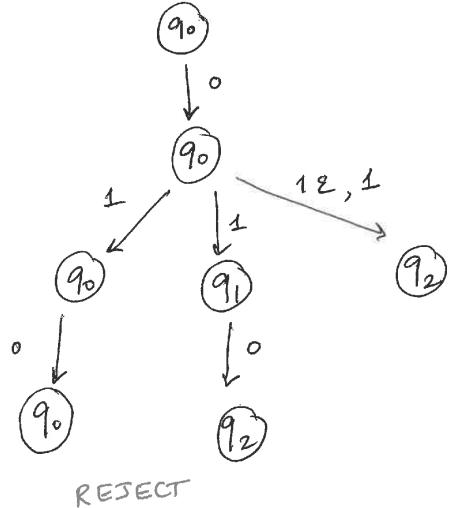


This process is guaranteed to stop because you consume a letter at each step.

ACCEPT the string if at least one of the states at the bottom-most level is an accept state

REJECT if all paths that go through the entire string end up at non-accepting states

$w = 010$



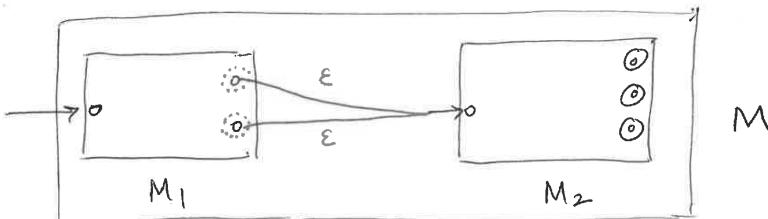
Rule: Any NFA that has arrows labelled by  $\epsilon$ , can be converted to an equivalent NFA without any  $\epsilon$ -labels. [Come back to this later.]

\* Back to trying to convert regexes  $\rightarrow$  NFAs

$r = \emptyset$ ,  $r = \epsilon$ ,  $r = a$  go through as before

(Note a DFA is also an NFA)

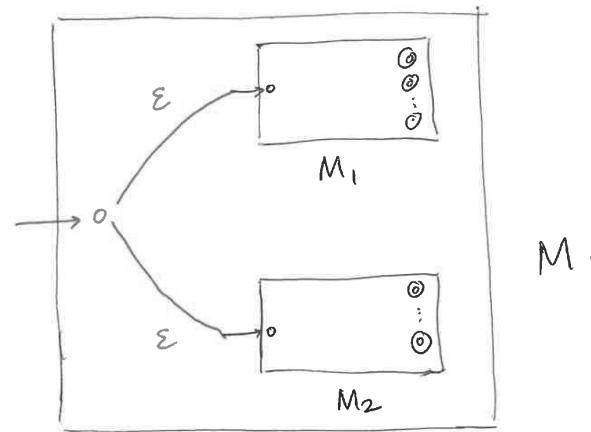
(4)  $r = r_1 r_2$



(Add  $\epsilon$ -arrows from each accept state of  $M_1$  to the start state of  $M_2$ ; make them non-accepting.)

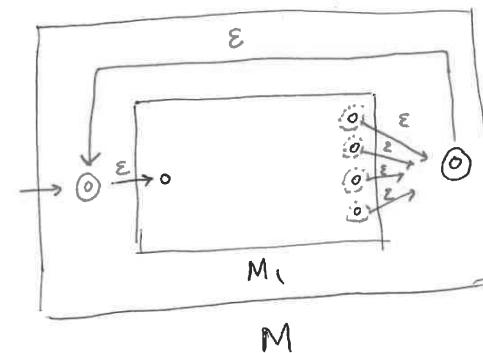
(5)

$r = r_1 \mid r_2$



(Alternative to  
the  
product  
construction)

(6)  $r = r_1^*$



(Explain again  
on Wed.)